

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

General Manager Market Intermediaries Regulation and Supervision Department

> SEBI/HO/MIRSD/PoD-1/OW/P/2023/ /7664 April 28, 2023

To

All the Registrars and Share Transfer Agents (RTAs) Registrar Association of India (RAIN)

Madam/Sir,

Sub: Extension of timeline for submission of certificate of compliance

- Vide SEBI Circular SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023 ("the Circular"), RTAs have been advised to provide a certificate of compliance from a practicing Company Secretary, latest by April 30, 2023, certifying the changes carried out, systems put in place / new operating procedures implemented etc. to comply with the provisions of this circular.
- 2. In view of the representation received from Registrar Association of India (RAIN) for an extension of timeline for the purpose of submission of certificate of compliance and the fact that requirements provided in the circular require more time for development, testing and deployment, the request for extension of timeline is being acceded to.
- 3. Accordingly, RTAs are advised to submit the aforesaid certificate of compliance latest by May 31, 2023. No further extension shall be granted in this regard.

Yours faithfully,

Aradhana Verma

Erodhanteura.

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